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Applicant(s): Barry M. Yomtov, et al.

Serial No. 10/679,763

Filed: October 6, 2003

Title: Medical Device for Neural Stimulation and Controlled Drug  
Delivery

Papers Submitted: Submission of Information Disclosure Statement with certificate of  
mailing; two (2) pages of Form PTO-1449; and copies of 11 cited  
references.

Attorney: KWK/em  
Date Mailed: February 25, 2004  
Docket: 17509-0072

AO 1075477.1



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Barry M. Yomtov, et al.	)	Group Art Unit: 3762
	)	
Serial No. 10/679,763	)	
	)	
Filed: October 6, 2003	)	
	)	
For: Medical Device for Neural	)	
Stimulation and Controlled	)	
Drug Delivery	)	

## SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

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Alexandria, VA 22313-1450

Sir:

The citation of information on the attached two pages of Form PTO-1449, "List of Art Cited by Applicants" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98.

Pursuant to the Office's waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, no copy of any cited U.S. patent or U.S. patent application publication is being provided herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 25, 2004.

  
Kevin W. King

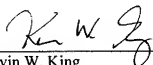
U.S.S.N. 10/679,763

Filed: October 6, 2003

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Respectfully submitted,

By:   
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Date: **February 25, 2004**

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/679,763
Filing Date	October 6, 2003
First Named Inventor	Barry M. Yornlov
Art Unit	3762
Examiner Name	
Attorney Docket Number	17509-0072

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-4,360,019	12-23-1982	Portner et al.	
		US-4,731,051	03-15-1988	Fischell	
		US-5,041,107	08-20-1991	Heil Jr.	
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		US-2002/0187260	12-12-2002	Sheppard Jr. et al.	
		US-2002/0188282	12-12-2002	Greenberg	
		US-2003/0010806	01-16-2003	Uhland et al.	
		US-2003/0036794	02-20-2003	Ragheb et al.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/679,763
		Filing Date	October 6, 2003
		First Named Inventor	Barry M. Yomtov
		Art Unit	3762
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	17509-0072

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Patent Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)				
		WO 02/056862 A2	07-25-2002	FRAUNHOFER		X
		DE 197 16 683 C1	06-04-1998	FRAUNHOFER		X
		WO 01/28629 A1	04-26-2001	ABIOMED, INC.		
		WO 01/37926 A1	05-31-2001	ABIOMED, INC.		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		BAE, et al., "Pulsatile Drug Release by Electric Stimulus," ACS Symp. Series Polymeric Drugs & Drug Admin., pp. 99-110 (1994).	
		HAROUN, et al., "Local Drug Delivery," <i>Curr. Opin. Oncol.</i> 12(3): 187-93 (2000) (abstract).	
		LIU, et al., "Applications of Microfabrication and Micromachining Techniques to Biotechnology," <i>Tibtech</i> 15: 213-16 (1997)	
		SANTINI, et al., "Microchips as Controlled Drug-Delivery Devices," <i>Angew Chem. Int. Ed. Engl.</i> 39(14): 2396-407 (2000).	
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		SANTINI, et al., "A Controlled-Release Microchip," <i>Nature</i> 397(6717): 335-38 (1999).	
		TAO, et al., "Microfabricated Drug Delivery Systems: from Particles to Pores," <i>Adv. Drug Deliv. Res.</i> 55(3): 315-28 (2003).	

Examiner Signature	/Scott Getzow/	Date Considered	07/27/2009
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